

PTO/SB/21 (08-03)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/751,204	
		Filing Date	January 2, 2004	
		First Named Inventor	FUWAUSA, Michelle J	
		Art Unit	2652	
		Examiner Name	CAO, Allen T	
Total Number of Pages in This Submission		190	Attorney Docket Number	3715-029

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

#### Remarks

Customer No 22440  
Confirmation No 3392

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	WEISZ, Tiberiu Reg No 29,876
Signature	
Date	September 26, 2005

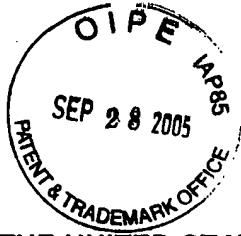
### CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	HUNTER, Belinda J		
Signature		Date	September 26, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First-Named Inventor : FUWAUSA, Michelle J  
Serial No. : 10/751,204  
Filing Date : January 2, 2004  
Title : ILLUMINATED DEVICES USING UV-LED's  
Examiner : CAO, Allen T  
Group Art Unit : 2652  
Customer No. : 22440  
Confirmation No. : 3392

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Mail Stop **AMENDMENT**  
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Alexandria, VA 22313-1450

**CERTIFICATE OF MAIL UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service via First Class mail service in a post-paid envelope addressed to:  
Mail Stop AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on 9/26/2005.

  
HUNTER Belinda J

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§1.56, 1.97 AND 1.98**

SIR :

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97, and 1.98, applicant submits herewith an *Information Disclosure Statement* along with (14) cited references listed on the attached substitute form PTO-1449.

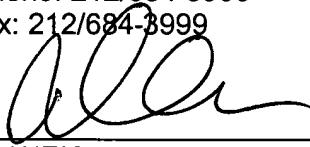
Inasmuch as the present application has not yet received a first Office Action on the merits in accordance with 37 CFR §1.97(b)(3), this information is believed to be timely filed. Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

Thus, it is believed that the \$180 fee normally required by 37 CFR §1.17(p) for filing this *Information Disclosure Statement* is not now due. However, if it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: September 26, 2005  
New York, New York

Respectfully submitted,

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By: 

WEISZ, Tiberiu  
Reg. No. 29,876

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Substitute for form PTO-1449			<b>Application Number:</b> 10/751,204
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			<b>Filing Date:</b> January 2, 2004
			<b>First-Named Inventor:</b> FUWAUSA, Michelle J
			<b>Group Art Unit:</b> 2652
			<b>Examiner Name:</b> CAO, Allen T
<b>Sheet:</b> 1    of    2		<b>Attorney Docket No:</b> 3715-029	

### US PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number with kind code if known	Publication Date MM-DD-YYYY	Name of Patentee/Applicant	Comments on Relevance
	A	US- 4,561,042	12.24.1985	Wehner, et al	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	B	US- 4,899,453	02.13.1990	Bhat, et al	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	C	US- 5,408,296 A	04.18.1995	Okutsu, et al	See Int'l Search Report; See PCT Written Opinion
	D	US- 5,477,430 A	12.1995	La Rose	See Office Action in related US Re-Exam 90/007,030 dated 06.10.2005
	E	US- 5,703,837 A	12.30.1997	Umemoto, et al	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	F	US- 5,899,553 A	05.04.1999	Howell	See Office Action in related US Re-Exam 90/007,030 dated 06.10.2005
	G	US- 6,106,127 A	08.22.2000	Fuwusa	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	H	US- 6,145,209 A	11.14.2000	Chang	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	I	US- 6,299,321 B1	10.09.2001	Fuwusa	See Office Action in related US Re-Exam 90/007,030 dated 07.23.2004 (Paper No. 5)
	J	US- 6,575,593 B2	06.10.2003	Krietzman	See Office Action in related US Re-Exam 90/007,030 dated 06.10.2005

Examiner Signature:	Date Considered:
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Substitute for form PTO-1449		<b>Application Number:</b> 10/751,204
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Filing Date:</b> January 2, 2004
		<b>First-Named Inventor:</b> FUWAUSA, Michelle J
		<b>Group Art Unit:</b> 2652
		<b>Examiner Name:</b> CAO, Allen T
<b>Sheet:</b> 2	<b>of</b> 2	<b>Attorney Docket No:</b> 3715-029

<b>OTHER PRIOR ART - - NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, etc), date, page(s), volume-issue, all citation information	T <sup>2</sup>
	K	International Search Report dated Mar 1, 2005; from corresponding Application No. PCT/US04/13022 filed April 28, 2004 and published on Nov 11, 2004 as Publication No. WO 2004/097290; Applicant/Inventor: Michelle J. FUWAUSA;	
	L	International Written Opinion dated Mar 1, 2005; from corresponding Application No. PCT/US04/13022 filed April 28, 2004 and published on Nov 11, 2004 as Publication No. WO 2004/097290; Applicant/Inventor: Michelle J. FUWAUSA;	
	M	Office Action dated July 23, 2004 in related US Re-Exam 90/007,030; Inventor: Fuwausa	
	N	Office Action dated June 10, 2005 in related US Re-Exam 90/007,030; Inventor: Fuwausa	

Examiner Signature:	Date Considered:
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